

Docket No. CITI0015-CON1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of

Paul SIDIKMAN, et al.

Group Art Unit: 3624

Serial No.: 09/006,839

Examiner: Hani M. Kazimi

Filed: January 12, 1998

For: METHOD AND SYSTEM FOR PROVIDING INTEGRATED BROKERAGE AND OTHER FINANCIAL SERVICES THROUGH CUSTOMER ACTIVATED TERMINALS

**SUBMISSION REQUIRED WITH REQUEST FOR CONTINUED EXAMINATION (RCE) PURSUANT TO 37 C.F.R. 1.114**

U.S. Patent and Trademark Office  
Customer Service Window, Mail Stop RCE  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97-1.98 and MPEP § 609, the references noted on the attached form PTO-1449 are hereby brought to the attention of the Examiner.

No additional fees are believed to be necessary since the references cited in this statement are being submitted with a Request for Continued Examination (RCE) and the fee associated with the RCE filing. The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 1.16 or 1.17 which may be required during the entire

pendency of this application, or to credit any overpayment, to Deposit Account No. 501458.

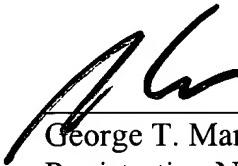
A duplicate copy of this letter is submitted herewith for that purpose.

The above information is presented so that the United States Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §§ 1.104(a) conferring the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,

Date: 10/12/05

By:



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